

41 3622

Attorney Docket No. 47004.000086



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Kevin SULLIVAN

Serial No.: 09/761,763

Filed: January 18, 2001

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Art Unit: 3622

Examiner: Donald Champagne

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GROUP 3600

For: SYSTEM AND METHOD FOR ADMINISTERING A BROKERAGE REBATE CARD PROGRAM

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicant respectfully requests consideration of the references listed on the attached form PTO/SB/08A. Copies of the references listed are enclosed.

Applicant respectfully requests that the Examiner consider the references cited on the form PTO/SB/08A and that the Examiner indicate that the references have been considered in this application by returning a copy of the form PTO/SB/08A with the Examiner's initials in the left column per MPEP 609.

The references listed on the attached form PTO/SB/08A, with the exception of U.S. Patent No. 6,196,458, were previously submitted in an Information Disclosure Statement dated February 4, 2003. Pursuant to the Examiner's comments in paragraphs 1-2 of the March 29,

06/29/2004 EFLORES 00000014 09761763

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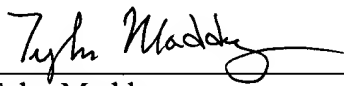
2004 Office Action, Applicant is resubmitting these references.

Reference 1, U.S. Patent No. 6,196,458 has not been previously submitted. References 2-8 are Applicant's best available copies. References 9-11, previously submitted, are now identified on the form PTO/SB/08A.

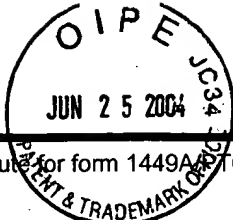
Applicants are submitting herewith a check for \$180.00 to cover the fee pursuant to 37 C.F.R. §§ 1.97(c) and 1.17(p). If any additional fees are necessitated by the filing of this Information Disclosure Statement, please charge the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,
HUNTON & WILLIAMS LLP

Date: JUNE 25, 2004


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Substitute for form 1449A-PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	09/761,763
Filing Date	January 18, 2001
First Named Inventor	Kevin Sullivan
Art Unit	3622
Examiner Name	Donald Champagne
Attorney Docket Number	47004.000086

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Sheet 1 of 1

U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	6,196,458	03-06-2001	Walker et al.	

NON-PATENT LITERATURE DOCUMENTS

2.	Debit Card News, Vol. 2, Issue 2, "Boatman's floats stored value into the employee incentive waters", 7/16/96, pp. 1-3.		
3.	MICKEY MEECE, "Boatman's prepaid cards for worker-incentive firm", American Banker, 7/2/96, p. 12.		
4.	First USA Platinum Connect, First USA Bank, First USA Promotional Mailing, 10/97.		
5.	Introducing the First USA Platinum Connect Card, First USA Promotional Mailing, 2/97.		
6.	Beth Piskora, Debit Cards Seen Poised for New Markets, American Banker, pp. 16, 03/07/95.		
7.	International Search Report for Application No. PCT/US01/03587 filed on 02/09/00 and dated 04/27/01.		
8.	International Preliminary Examination Report for Application No. PCT/US01/03587 filed on 02/09/00 and dated 07/18/02.		
9.	Incentive Firms Find Debit Cards A Rewarding Experience, (Off-Line debit card issuers converge efforts with companies looking for effective incentives to boost employee productivity and to motivate individuals to buy their products), Debit Card News, Vol. 3, No. 11, 3 pages, November 28, 1997.		
10.	Swift Gift 'Wows' Internet Shoppers, December 2, 1998, PR Newswire, 2 pages.		
11.	"The Gift of Credit, A Prepaid Holiday Card from First USA," Washington Business Section of The Washington Post p. 3 (December 14, 1998).		

EXAMINER SIGNATURE

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.